



# Cash Force

This box contains the 3-digit bank number supplied by UMB

497

**Instructions:**

Complete the Checkwriting Signature Card section or the VISA Check Card section (or both) and then sign the Agreement section of the Application. If you elect checkwriting, a supply of checks will be sent to you shortly. Checkwriting is not available on IRA accounts.

**Note:** We comply with Section 326 of the USA Patriot Act. This law requires us to verify certain information about you while processing your application.

ACCOUNT NO.

697057

### ACCOUNT INFORMATION

Primary Account Holder's First Name Middle Initial Last

Joint Account Holder's First Name Middle Initial Last

Social Security Number Date of Birth

Social Security Number Date of Birth

Mailing Address

Mailing Address

City State Zip How Long?

City State Zip How Long?

Home Address (if different from mailing address)

Home Address (if different from mailing address)

Home Phone Business Phone

Home Phone Business Phone

### CHECKWRITING SIGNATURE CARD

By completing this section, the above account holder(s) hereby applies for checkwriting privileges on the referenced account.

Company Name  
**First Southwest Company**

**497** --Bank number supplied by UMB

1. PRIMARY ACCOUNT HOLDER'S SIGNATURE

2. JOINT ACCOUNT HOLDER'S SIGNATURE

### VISA CHECK CARD INFORMATION

By completing this section, the above account holder(s) hereby applies for VISA privileges on the referenced account. The account holder(s) acknowledges that they are aware that there is an annual fee associated with the Visa Check Card. The information provided below is necessary for your protection. It will be used occasionally to verify the identity of the card user.

Primary Accountholder's Name as Registered

Joint Accountholder's Name

Mother's Maiden Name

Mother's Maiden Name

#### EMPLOYMENT INFORMATION

Position Length of Employment

Position Length of Employment

Primary Accountholder's Present Employer

Joint Accountholder's Present Employer

Business Address

Business Address

### AGREEMENTS

IF THE ACCOUNT FROM WHICH DEBITS ARE TO BE MADE IS A JOINT ACCOUNT, ALL PERSONS NAMED ON THE ACCOUNT MUST SIGN THIS APPLICATION. By signing this Application, I certify that the information provided is true and correct. I acknowledge that I have received a prospectus and have read, understand and agree to the Checkwriting Account Agreement and/or Visa Check Card Application Agreement printed on the reverse side of this application.

1. PRIMARY ACCOUNT HOLDER'S SIGNATURE

2. JOINT ACCOUNT HOLDER'S SIGNATURE

## CHECKWRITING ACCOUNT AGREEMENT

Each person signing the Checkwriting Signature Card on the reverse hereof (an "Applicant") certifies that his or her signature thereon represents such Applicant's legal signature. Each Applicant guarantees the genuineness of any other Applicant's signature appearing on the Signature Card. The Fund from which Applicant's checks are to be paid, Applicant's Broker (if any), and UMB Bank, n.a. or its bank affiliates (collectively, the "Bank") and any of their successors are authorized to recognize such signature in the payment of checks, drafts and other instruments ("Checks") against Applicant's investment account ("Account"), **any (1) of the signatures on the Signature Card, standing alone, being sufficient.**

Each Applicant agrees to be bound by the Terms and Conditions for Check Writing (the "Terms"), which may be forwarded to Applicant by Bank from time to time. The Terms may be amended by the Bank, and shall be binding on Applicant and the Account when an Applicant receives notice of any such changes.

Each Applicant hereby appoints the Bank as Applicant's agent for purposes of this Checkwriting Account Agreement. The Bank is authorized, upon the presentment of Checks or other electronic debits drawn on the Account (collectively, "Debits"), to transmit such Debits to the Fund or its Transfer Agent or to the Broker (as appropriate) as requests to redeem shares in the Account in an amount sufficient to pay such Debits, and to effect their payment. Applicant agrees that Bank may honor electronic payments to or from the Account as authorized by Applicant, when such payments are processed in accordance with law and the applicable payment system rules.

Applicant agrees that the Account is subject to the applicable terms and restrictions, including charges for check writing and payment processing services, as set forth in the current Prospectus or in a separate fee schedule for each Fund.

Applicant agrees that payments made from the Account under this Checkwriting Account Agreement are governed by the laws, including the Uniform Commercial Code, as enacted in the State of Missouri, as amended from time to time. Applicant consents to the jurisdiction of the state or federal courts in Missouri over any dispute or claim arising out of the provision of check writing or other payment services under this Agreement. Applicant agrees to examine the statement for the Account promptly. **Applicant agrees to report any claim that a Check or other payment made from the Account was forged, altered, or otherwise not authorized within thirty (30) days of receipt of the statement by any account holder. Failure to notify the Fund, the Broker or the Bank within that time will preclude any claim against the Fund, the Broker and the Bank by reason of any unauthorized or missing signature, alteration, or error of any kind.** In the event the Fund, the Broker or the Bank is deemed liable for any unauthorized payment or any failure to honor a stop payment order that has been properly given, such liability shall not exceed the face amount of the Check or other payment improperly made.

## VISA CHECK CARD APPLICATION AGREEMENT

Each person signing the Application for a VISA® Check Card (a "Card") on the reverse side (an "Applicant") hereby applies to UMB Bank n.a. (the "Bank") for a Card as set forth below.

Each Applicant understands that the application for a Card is subject to approval by Bank. By submitting this request for a Card, each Applicant authorizes Bank to obtain a credit report on Applicant in connection with this Application and from time to time after Applicant receives a Card to verify that Applicant continues to qualify for the Card. Bank may inquire as to the credit, investments and employment history of each Applicant.

If this request is approved by Bank and a Card is issued, each Applicant understands that the Card(s) will be mailed to Applicant accompanied by an agreement (the "Cardmember Agreement") setting forth the terms and conditions governing the Card. Applicant understands and agrees that the Card and use of the Card will be governed by the Cardmember Agreement, as amended by the Bank from time to time.

Each time an Applicant uses a Card, Applicant authorizes liquidation of assets in the related investment account, so that transactions are settled and Bank receives the proceeds of such liquidations. Applicant understands that the Card is made available solely for the purpose of enabling Applicant to access the proceeds of the related investment assets, and does not involve any extension of credit. This authorization may be terminated by either Bank or by an Applicant by written notification. Applicant understands that Applicant will be responsible for the amount of any transactions authorized by an Applicant, whether or not the transactions have been debited from the related investment account as of the date of such termination.

Applicant understands and agrees that Bank may provide information about the Card and Applicant's use of a Card to the company shown on this application, the applicable Fund and other service providers, in order to process Card transactions or otherwise provide Card services.